

RESEARCH AND ADVISORY SUPPORT



Research that turns information overload into clear investment insight.

Kaivalya Research turns complex sustainability, financial and governance information into clear investment judgment and practical action.

Through focused research and advisory support, Kaivalya helps clients assess emerging issues, strengthen investment and stewardship practices, and develop credible strategies, products and client materials.

WHAT KAIVALYA RESEARCH OFFERS

01

Responsible Investment & Stewardship Advisory

Kaivalya helps investment organizations strengthen the strategies, governance and processes that connect responsible-investment commitments with investment practice.

POTENTIAL SUPPORT INCLUDES:

- Responsible-investment strategy, policy, governance and accountability
- ESG integration frameworks and investment decision tools
- Stewardship strategy and thematic priority-setting
- Company research, engagement objectives and escalation frameworks
- Proxy-voting policy, voting-record analysis and program oversight
- Oversight of proxy-voting service providers and external managers
- Board oversight, accountability structures and investment committee mandates
- Manager and investment due diligence
- Impact measurement, monitoring and reporting
- Investment committee, board and client materials

02

Investment, Product & Market Research

Kaivalya provides independent research to support new investment offerings, strategic decisions and changing client needs.

POTENTIAL ASSIGNMENTS INCLUDE:

- Market, competitor and peer analysis
- Research into investor and client demand
- Identification of product gaps and areas of differentiation
- Assessment of emerging investment themes and opportunities
- Mapping industries, value chains and investable universes
- Identifying relevant companies, funds, managers and investment vehicles
- Developing investment criteria, exclusions and monitoring indicators
- Reviewing ESG and impact data providers and methodologies
- Supporting public-market, private-market, multi-asset and impact strategies
- Preparing investment committee papers, product rationales and client materials

03

Thematic & Sector Research

Kaivalya Research provides focused research briefs and deeper investment-oriented analysis on complex or emerging sustainability issues.

REPRESENTATIVE RESEARCH AREAS INCLUDE:

Climate, energy and industrial transition

Corporate transition strategies, energy systems, nuclear power, climate solutions and industrial decarbonization.

Nature, food systems and natural capital

Biodiversity, regenerative agriculture, water, land use and sustainable food production.

Natural resources and commodities

Critical minerals, mining, responsible extraction, resource security and community impacts.

Human rights and inclusive economies

Human capital management, labour practices, supply-chain due diligence, Indigenous rights, reconciliation and economic participation.

Corporate governance and accountability

Board structure and oversight, executive compensation, shareholder rights, ownership structures, audit quality, political influence and governance of material sustainability risks.

Chemicals, pollution and product stewardship

PFAS, plastics, hazardous substances, circularity and environmental health.

Healthcare and pharmaceuticals

Antimicrobial resistance, access to medicines, product responsibility and healthcare-system resilience.

RESEARCH SCOPE & APPLICATION

Research can assess the underlying evidence, financial and sustainability relevance, market and regulatory context, industry structure, value chain, company exposure, investment opportunities, data limitations and controversies.

Findings can be translated into investment criteria, portfolio analysis, stewardship priorities, product development and client communication.

Potential Outputs

Depending on the assignment, Kaivalya can provide:

Focused research briefs, thematic deep dives and decision papers

Market, product, industry, company and value-chain landscapes

Portfolio exposure, peer and opportunity assessments

Investment criteria, due diligence frameworks, scorecards and implementation plans

Stewardship strategies, engagement frameworks and proxy-voting analysis

Investment committee and client-facing reports, presentations and educational materials

Selected Relevant Experience

- Leading responsible-investment and stewardship strategy at an institutional asset manager, including ESG integration, proxy voting, engagement, reporting and product development
- Serving on investment and impact review committees, with oversight and final approval authority for a global impact fund and its company-specific impact indicators
- Conducting deep thematic, sector and market research across nuclear energy, climate, biodiversity, pharmaceuticals and antimicrobial resistance, chemicals and PFAS, human rights, supply-chain risks and real estate
- Implementing portfolio carbon footprinting and climate strategies, including net-zero commitments, alongside biodiversity footprinting, targets and stewardship priorities
- Designing frameworks for corporate engagement, shareholder proposals, proxy voting, and manager and investment due diligence, including issue prioritization, decision criteria, approval processes and oversight
- Building stewardship infrastructure, including engagement frameworks and escalation processes, tracking and reporting systems, proxy-voting processes, and service-provider selection and oversight
- Providing strategic advisory support for real estate and economic development projects, including market analysis, land-use policy, municipal approvals, infrastructure, stakeholder engagement and commercial considerations
- Leading thematic and methodological analysis of approximately eight million proxy-voting records through Kaivalya's work with OxProx

Information is everywhere. Clarity is not.

Kaivalya Research helps investment organizations identify what deserves attention, assess its significance, and use that insight to inform decision-making and action.